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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Mahanti, et al.

Appln. No.: 09/840,446

Art Unit: 2161

Filed: April 23, 2001

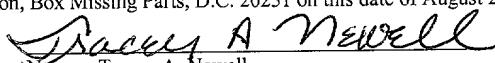
Examiner: To be assigned

For: METHOD AND APPARATUS FOR
ELECTRONIC TRADING

Docket No.: SKS-00101

Certificate of Mailing

I hereby certify that the foregoing documents are being deposited with the United States Postal Service as first class mail, in an envelope addressed to the Commissioner for Patents Washington, Box Missing Parts, D.C. 20231 on this date of August 24, 2001.



Name: Tracey A. Newell

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Box Missing Parts
Washington, DC 20231

Sir:

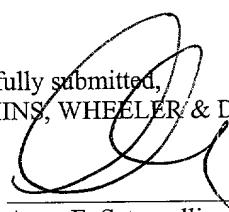
In response to the Notice of Missing Parts dated June 19, 2001 for the above-referenced patent application under 35 U.S.C. 371, Applicant respectfully submits the following:

1. An executed Declaration and Power of Attorney;
2. A copy of the Notice to File Missing Parts;
3. A check in the amount of \$1,236.00 to cover the filing fee and missing parts surcharge;
4. Petition for one month extension of time, and a check for \$110.00;
5. Substitute drawings in compliance with 37CFR 1.84; and Return Receipt Postcard.

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 501136**. Two originally-executed copies of this form are being submitted.

Should there be any questions after reviewing this paper, the Examiner is invited to contact the undersigned at (617) 951-6661.

Respectfully submitted,
HUTCHINS, WHEELER & DITTMAR



Anne E. Saturnelli
Reg. No. 41,290

Date August 24, 2001

Patent Group
Hutchins, Wheeler & Dittmar
101 Federal Street
Boston, MA 02110

08/30/2001 ENCOUNTER 00000031-09840446

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/840,446	04/23/2001	Sriketan Mahanti	SKS-00101

CONFIRMATION NO. 1911

FORMALITIES LETTER



OC00000006199632

Patent Group
Hutchins, Wheeler & Dittmar
101 Federal Street
Boston, MA 02110

Date Mailed: 06/19/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$396.
 - \$396 for 22 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1236.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)) Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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02 FC:101
03 FC:103
04 FC:105

710.00 QP
396.00 QP
130.00 QP

*A copy of this notice **MUST** be returned with the reply.*

CV

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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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